



# Higher Mathematics

UNIT 1 OUTCOME 4

## Sequences

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HSN21400

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## OUTCOME 4

## Sequences

## 1 Introduction to Sequences

A **sequence** is an ordered list of objects (usually numbers).

Usually we are interested in sequences which follow a particular pattern. For example,  $1, 2, 3, 4, 5, 6, \dots$  is a sequence of numbers – the “...” just indicates that the list keeps going forever.

Writing a sequence in this way assumes that you can tell what pattern the numbers are following but this is not always clear, e.g.

$$28, 22, 19, 17\frac{1}{2}, \dots$$

For this reason, we prefer to have a formula or rule which explicitly defines the terms of the sequence.

It is common to use subscript numbers to label the terms, e.g.

$$u_1, u_2, u_3, u_4, \dots$$

so that we can use  $u_n$  to represent the  $n$ th term.

We can then define sequences with a formula for the  $n$ th term. For example:

Formula	List of terms
$u_n = n$	1, 2, 3, 4, ...
$u_n = 2n$	2, 4, 6, 8, ...
$u_n = \frac{1}{2}n(n+1)$	1, 3, 6, 10, ...
$u_n = \cos\left(\frac{n\pi}{2}\right)$	0, -1, 0, 1, ...

Notice that if we have a formula for  $u_n$ , it is possible to work out *any* term in the sequence. For example, you could easily find  $u_{1000}$  for any of the sequences above without having to list all the previous terms.

## Recurrence Relations

Another way to define a sequence is with a **recurrence relation**. This is a rule which defines each term of a sequence using previous terms.

For example:

$$u_{n+1} = u_n + 2, \quad u_0 = 4$$

says “the first term ( $u_0$ ) is 4, and each other term is 2 more than the previous one”, giving the sequence 4, 6, 8, 10, 12, 14, ...

Notice that with a recurrence relation, we need to work out all earlier terms in the sequence before we can find a particular term. It would take a long time to find  $u_{1000}$ .

Another example is interest on a bank account. If we deposit £100 and get 4% interest per year, the balance at the end of each year will be 104% of what it was at the start of the year.

$$u_0 = 100$$

$$u_1 = 104\% \text{ of } 100 = 1.04 \times 100 = 104$$

$$u_2 = 104\% \text{ of } 104 = 1.04 \times 104 = 108.16$$

⋮

The complete sequence is given by the recurrence relation

$$u_{n+1} = 1.04u_n \text{ with } u_0 = 100,$$

where  $u_n$  is the amount in the bank account after  $n$  years.

### EXAMPLE

The value of an endowment policy increases at the rate of 5% per annum. The initial value is £7000.

(a) Write down a recurrence relation for the policy's value after  $n$  years.

(b) Calculate the value of the policy after 4 years.

(a) Let  $u_n$  be the value of the policy after  $n$  years.

$$\text{So } u_{n+1} = 1.05u_n \text{ with } u_0 = 7000.$$

(b)  $u_0 = 7000$

$$u_1 = 1.05 \times 7000 = 7350$$

$$u_2 = 1.05 \times 7350 = 7717.5$$

$$u_3 = 1.05 \times 7717.5 = 8103.375$$

$$u_4 = 1.05 \times 8103.375 = 8508.54375$$

After 4 years, the policy is worth £8508.54.



## 2 Linear Recurrence Relations

In Higher, we will deal with recurrence relations of the form

$$u_{n+1} = au_n + b$$

where  $a$  and  $b$  are any real numbers and  $u_0$  is specified. These are called **linear recurrence relations** of order one.

### Note

To properly define a sequence using a recurrence relation, we must specify the initial value  $u_0$ .

#### EXAMPLES

1. A patient is injected with 156 ml of a drug. Every 8 hours, 22% of the drug passes out of his bloodstream. To compensate, a further 25 ml dose is given every 8 hours.

- (a) Find a recurrence relation for the amount of drug in his bloodstream.  
 (b) Calculate the amount of drug remaining after 24 hours.



(a) Let  $u_n$  be the amount of drug in his bloodstream after  $8n$  hours.

$$u_{n+1} = 0.78u_n + 25 \text{ with } u_0 = 156$$

(b)  $u_0 = 156$

$$u_1 = 0.78 \times 156 + 25 = 146.68$$

$$u_2 = 0.78 \times 146.68 + 25 = 139.4104$$

$$u_3 = 0.78 \times 139.4104 + 25 = 133.7401$$

After 24 hours, he will have 133.74 ml of drug in his bloodstream.

2. A sequence is defined by the recurrence relation  $u_{n+1} = 0.6u_n + 4$  with  $u_0 = 7$ .



Calculate the value of  $u_3$  and the smallest value of  $n$  for which  $u_n > 9.7$ .

$$u_0 = 7$$

$$u_1 = 0.6 \times 7 + 4 = 8.2$$

$$u_2 = 0.6 \times 8.2 + 4 = 8.92$$

$$u_3 = 0.6 \times 8.92 + 4 = 9.352$$

The value of  $u_3$  is 9.352.

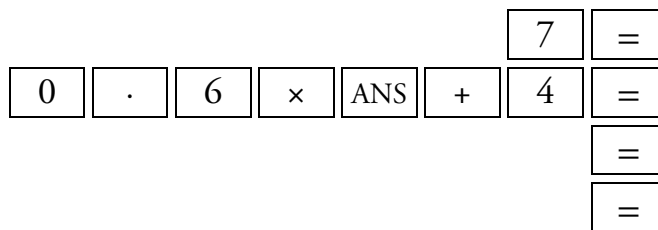
$$u_4 = 9.6112$$

$$u_5 = 9.76672$$

The smallest value of  $n$  for which  $u_n > 9.7$  is 5.

### Using a Calculator

Using the ANS button on the calculator, we can carry out the above calculation more efficiently.

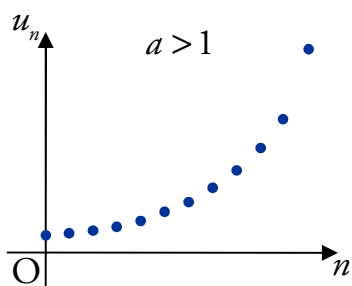


## 3 Divergence and Convergence

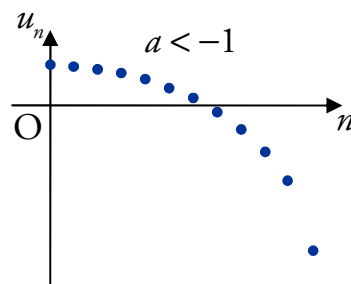
If we plot the graphs of some of the sequences that we have been dealing with, then some similarities will occur.

### Divergence

Sequences defined by recurrence relations in the form  $u_{n+1} = au_n + b$  where  $a < -1$  or  $a > 1$ , will have a graph like this:

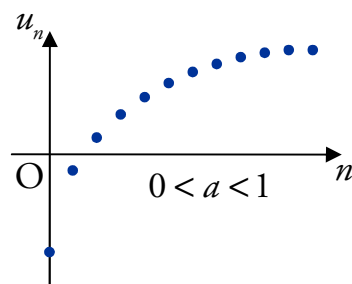


Sequences like this will continue to increase or decrease forever. They are said to **diverge**.

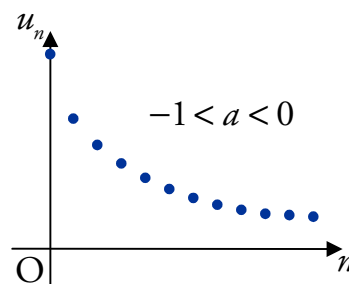


### Convergence

Sequences defined by recurrence relations in the form  $u_{n+1} = au_n + b$  where  $-1 < a < 1$ , will have a graph like this:



Sequences like this “tend to a limit”. They are said to **converge**.



## 4 The Limit of a Sequence

We saw that sequences defined by  $u_{n+1} = au_n + b$  with  $-1 < a < 1$  “tend to a limit”. In fact, it is possible to work out this limit just from knowing  $a$  and  $b$ .

The sequence defined by  $u_{n+1} = au_n + b$  with  $-1 < a < 1$  tends to a limit  $l$  as  $n \rightarrow \infty$  (i.e. as  $n$  gets larger and larger) given by

$$l = \frac{b}{1-a}.$$

You will need to know this formula, as it is not given in the exam.

### EXAMPLES

1. The deer population in a forest is estimated to drop by 7.3% each year. Each year, 20 deer are introduced to the forest. The initial deer population is 200.

- (a) How many deer will there be in the forest after 3 years?  
 (b) What is the long term effect on the population?



$$\begin{aligned} \text{(a)} \quad u_{n+1} &= 0.927u_n + 20 \\ u_0 &= 200 \\ u_1 &= 0.927 \times 200 + 20 = 205.4 \\ u_2 &= 0.927 \times 205.4 + 20 = 210.4058 \\ u_3 &= 0.927 \times 210.4058 + 20 = 215.0461 \end{aligned}$$

Therefore there are 215 deer living in the forest after 3 years.

(b) A limit exists, since  $-1 < 0.927 < 1$ .

$$\begin{aligned} l &= \frac{b}{1-a} \quad \text{where } a = 0.927 \text{ and } b = 20 \\ &= \frac{20}{1-0.927} \\ &= 273.97 \text{ (to 2 d.p.).} \end{aligned}$$

Therefore the number of deer in the forest will settle around 273.

### Note

Whenever you calculate a limit using this method, you must state that “A limit exists since  $-1 < a < 1$ ”.

2. A sequence is defined by the recurrence relation  $u_{n+1} = ku_n + 2k$  and the first term is  $u_0$ .

Given that the limit of the sequence is 27, find the value of  $k$ .

The limit is given by  $\frac{b}{1-a} = \frac{2k}{1-k}$ , and so

$$\frac{2k}{1-k} = 27$$

$$27(1-k) = 2k$$

$$29k = 27$$

$$k = \frac{27}{29}.$$

## 5 Finding a Recurrence Relation for a Sequence

If we know that a sequence is defined by a linear recurrence relation of the form  $u_{n+1} = au_n + b$ , and we know three consecutive terms of the sequence, then we can find the values of  $a$  and  $b$ .

This can be done easily by forming two equations and solving them simultaneously.

### EXAMPLE

A sequence is defined by  $u_{n+1} = au_n + b$  with  $u_1 = 4$ ,  $u_2 = 3.6$  and  $u_3 = 2.04$ .



Find the values of  $a$  and  $b$ .

Form two equations using the given terms of the sequence:

$$u_2 = au_1 + b \quad \text{and} \quad u_3 = au_2 + b$$

$$3.6 = 4a + b \quad \text{①} \quad \quad 2.04 = 3.6a + b \quad \text{②}.$$

Eliminate  $b$ :

$$\text{①} - \text{②}: 1.56 = 0.4a$$

$$a = \frac{1.56}{0.4} \\ = 3.9.$$

Put  $a = 3.9$  into ①:

$$4 \times 3.9 + b = 3.6$$

$$b = 3.6 - 15.6$$

$$b = -12.$$

So  $a = 3.9$  and  $b = -12$ .